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November 3, 2000

## Box Patent Application

Assistant Commissioner for Patents  
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Sir:

The following documents are forwarded herewith for appropriate action by the  
U.S. Patent and Trademark Office.

U.S. Utility Patent Application entitled: **Method of Treating Amyloid  $\beta$  Precursor Disorders**

and naming as inventor(s): **Dr. Lawrence Friedhoff and Dr. Joseph Buxbaum**  
the application comprising:

- a. A specification containing:
  - (i) 46 pages of description prior to the claims;
  - (ii) 5 pages of claims (33 claims);
  - (iii) a one (1) page abstract;
- b. 9 sheets of drawings: (Figures 1-9).

2. A check in the amount of \$1,264.00

\$710.00	Filing Fee for Patent Application
\$554.00	Extra claims fee

3. Executed Combined Declaration and Power of Attorney.
4. Two (2) Postcards.



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**SHANKS & HERBERT**

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3 November, 2000

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It is respectfully requested that, of the two attached post cards, one be stamped with the filing date of these documents and returned to our courier, and the other prepaid postcard be stamped with the filing date and unofficial serial number and returned as soon as possible.

If the Filing Fee is found to be insufficient for any reason, please charge such deficiency to our Deposit Account No. 50-0622.

Sincerely,



**Toni-Junell Herbert**

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## METHOD OF TREATING AMYLOID $\beta$ PRECURSOR DISORDERS

### RELATED APPLICATION DATA

This application claims the benefit of U.S. Provisional Patent Application No.

5 60/163,608, filed November 4, 1999, U.S. Provisional Patent Application No. 60/219,435, filed July 22, 2000, and U.S. Provisional Patent Application No. 60/223,987, filed August 9, 2000, naming Drs. Lawrence Friedhoff and Joseph Buxbaum as co-inventors. The aforementioned applications are herein incorporated by reference.

### TECHNICAL FIELD

10 The present invention relates to a method of treating amyloid  $\beta$  precursor protein (APP) disorders such as Alzheimer's disease and Down's Syndrome.

### BACKGROUND OF THE INVENTION

The cause of Alzheimer's disease is not known. The disease is characterized by the accumulation of  $\beta$ -amyloid peptides ( $A\beta$  peptides), as abnormal protein precipitates, in the brain.

15 It is generally believed that these proteins kill brain cells which causes a loss of mental function.

As illustrated in Figure 1, immature amyloid  $\beta$  precursor protein ( $APP_i$ ) under-goes glycosylation to become mature amyloid  $\beta$  precursor protein ( $APP_m$ ). Then  $APP_m$  is either (1) cleaved by the protease  $\alpha$ -secretase to produce a secreted form of APP ( $APP_s$ ) which is not amyloidogenic, or (2) cleaved by  $\beta$ -secretase and  $\gamma$ -secretase to produce the abnormal protein,  $A\beta$  ( $A\beta$  peptide), which can then precipitate.

20 Many advances have been made in the treatment of Alzheimer's disease. The cholinesterase inhibitors such as tacrine, donepezil and rivastigmine improve symptoms slightly. However, the slight improvement in attention and alertness is most likely due to increased brain

acetylcholine levels. Unfortunately, however, the cholinesterase inhibitors do not prevent cognitive decline, which is inevitably fatal even with optimal cholinesterase inhibitor treatment. Several strategies for treating Alzheimer's disease have been proposed and include decreasing or preventing the release of A $\beta$  peptide by either increasing  $\alpha$ -secretase or decreasing the  $\beta$ - or  $\gamma$ -secretase activity or production. Other strategies include decreasing A $\beta$  peptide aggregation, increasing A $\beta$  peptide clearance, reducing A $\beta$  peptide production or decreasing the cellular effects of A $\beta$  peptide aggregation and deposition. *See Sabbagh, M. N. et al., (1997) Alzheimer's Disease Rev. 3:1-19. See also U.S. Patent No. 6,080,778.* In light of the foregoing, there is a need for a more effective treatment of mammals suffering from APP processing disorders such as Alzheimer's disease and Down's Syndrome.

## SUMMARY OF THE INVENTION

Generally, the invention relates to a method for treating a mammal having an APP processing disorder comprising administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor. APP processing disorders include Alzheimer's disease and Down's Syndrome.

In a preferred embodiment, the invention relates to a method of treating a mammal having Alzheimer's disease and/or Down's Syndrome by administering to the mammal a therapeutically effective amount of at least one HMG-CoA reductase inhibitor. In this embodiment, the method may also comprises determining whether the mammal exhibits at least one objective symptom of Alzheimer's disease or Down's Syndrome.

In another embodiment of the present invention, the composition comprising at least one HMG-CoA reductase inhibitor may further comprise a pharmaceutically acceptable excipient. The composition is preferably in the form of a controlled release formulation.

In a preferred embodiment of the present invention, the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin and fluvastatin, and pharmaceutically effective salts, isomers and the active metabolite forms thereof, or a combination thereof. In a more preferred embodiment, the HMG-

5 CoA reductase inhibitor is lovastatin or lovastatin acid.

In another preferred embodiment, about 0.2 mg to about 10 mg of the HMG-CoA reductase inhibitor per Kg of the mammal's body weight per day is administered. The daily amount administered to the mammal may be administered in more than one fraction.

In another preferred embodiment, an oral dose of about 5 mg to about 400 mg of lovastatin per day is administered to a human having an APP processing disorder. In a more preferred embodiment the oral dose is about 10 mg to about 350 mg per day. More preferably, the oral dose is about 10 mg to about 300 mg per day. Even more preferably, the oral dose is about 10 mg to about 250 mg per day.

In another preferred embodiment, any suitable dose of an HMG-CoA reductase inhibitor is administered to a mammal having an APP processing disorder. More preferably, the suitable dose is one that is therapeutically effective and results in the average blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state being below about 50 micromolar. More preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 30 micromolar. Even more preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 20 micromolar. In an even more preferred embodiment, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 10 micromolar. Even more preferably, the blood plasma

concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 5 micromolar. Even more preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 1 micromolar. Most preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is about 0.5 micromolar.

In another embodiment, the invention relates to a method for treating a mammal having an APP processing disorder which comprises lowering the amount of A $\beta$  peptides in the brain, cerebral spinal fluid, or plasma of the mammal by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

Lowering the amount of A $\beta$  peptides in the brain may comprise affecting APP<sub>m</sub> processing. In a preferred embodiment, the amount of A $\beta$  peptides is lowered in the brain of the mammal.

In another embodiment, the invention relates to a method for treating a mammal having an APP processing disorder which comprises increasing the clearance of A $\beta$  peptides in the brain, cerebral spinal fluid, or plasma of the mammal by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor. In a preferred embodiment, the clearance of A $\beta$  peptides in the brain of the mammal is increased.

In another embodiment, the invention relates to a method for treating a mammal having an APP processing disorder comprising preventing or reducing A $\beta$  peptide aggregation or plaque formation in the brain of the mammal by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

In another embodiment, the invention relates to a method for the treatment of a mammal exhibiting the objective symptoms of Alzheimer's disease by decreasing the formation of A $\beta$

peptides, increasing the clearance of A $\beta$  peptides, regulating the processing of APP, or reducing plaque maturation in the mammal by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

5 In another embodiment, the invention relates to a method for treating a mammal having an APP processing disorder comprising lowering the amount cellular cholesterol levels in the mammal. In a preferred embodiment, the amount of cellular cholesterol levels are decreased by the administration of at least one HMG-CoA reductase inhibitor.

10 Generally an immediate release or a controlled release dosage form may be utilized in the practice of the invention. The immediate release dosage formulation may comprise an effective amount of a HMG-CoA reductase inhibitor and a suitable pharmaceutical diluent. The controlled release dosage formulation may comprise:

a compressed tablet core which contains an alkyl ester of a hydroxy substituted naphthalene derivative, a pharmaceutically acceptable, water swellable polymer and an osmotic agent; and

15 an outer coating layer which covers the osmotic core and comprises a pH sensitive coating agent and a water insoluble polymer.

20 An optional sealing coat may be applied to the compressed tablet core and an optional coating layer comprising an enteric coating agent may be applied under the outer coating layer as an inner coating or as an overcoat over the outer coating layer. The tablet core may be compressed using a smooth faced tablet die. The preferred alkyl ester of a hydroxy substituted naphthalene compound is lovastatin. Plasma levels of about 0.5 micromoles of the HMG-CoA reductase inhibitor are preferably maintained by the use of a controlled release formulation of the HMG-CoA reductase inhibitor.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a schematic which illustrates APP processing.

Figures 2a and 2b illustrate the effects of lovastatin acid on A $\beta$  peptides in Human Neuroglioma (H4) cells. Figure 2a is a photograph of two gel wells wherein the negative well and the positive well correspond to the bar graphs of Figure 2b representing 0 and 0.5  $\mu$ M of lovastatin acid, respectively. Data represent the mean  $\pm$  the standard error of the mean (SEM) of one experiment performed in quadruplicate.

Figures 3a and 3b illustrate the effects of lovastatin acid on A $\beta$  peptides in Madin-Darby Canine Kidney (MDCK) cells. Figure 3a is a photograph of two gel wells wherein the negative well and the positive well correspond to the bar graphs of Figure 3b representing 0 and 0.5  $\mu$ M of lovastatin acid, respectively. Data represent the mean  $\pm$  SEM of three experiments performed in quadruplicate.

Figures 4a and 4b illustrate the effects of lovastatin acid on A $\beta$  peptides in Chinese Hamster Ovary (CHO) cells. Figure 4a is a photograph of two gel wells wherein the negative well and the positive well correspond to the bar graphs of Figure 4b representing 0 and 0.5  $\mu$ M of lovastatin acid, respectively. Data represent the mean  $\pm$  SEM of four experiments performed in quadruplicate.

Figures 5 illustrates the effects of lovastatin acid on APP<sub>s</sub> processing. Data represent the mean  $\pm$  SEM of an experiment performed in quadruplicate.

Figure 6 illustrates the effects of lovastatin acid on mature APP processing. Data represent the mean  $\pm$  SEM of an experiment performed in quadruplicate.



Figure 7 is a graph showing the steady-state plasma concentrations of lovastatin acid in patients after multiple oral 40 mg doses of Lovastatin XL, a preferred extended release tablet form of lovastatin.

Figure 8 is a graph showing the change in the mean A $\beta$  peptide concentration in the blood of groups of patients after treatment with various doses of Lovastatin XL.

Figure 9 is a bar chart showing the change in the mean A $\beta$  peptide concentration in the blood of groups of patients after treatment with various doses of Lovastatin XL.

## DETAILED DESCRIPTION OF THE INVENTION

Recently, the present inventors have discovered that HMG-CoA reductase inhibitors lower the amount of A $\beta$  peptide levels, prevent or reduce A $\beta$  peptide formation, may increase A $\beta$  clearance, and therefore prevent or reduce A $\beta$  peptide aggregation. More particularly, the present inventors have discovered that the administration of HMG-CoA reductase inhibitors lower the amount of A $\beta$  peptide levels, prevent or reduce A $\beta$  peptide formation, may increase A $\beta$  clearance, and therefore prevent or reduce A $\beta$  peptide aggregation, without the need of other cholesterol lowering treatments. Therefore, methods of treating APP processing disorders such as Alzheimer's disease and Down's Syndrome in a mammal comprising the administration of a HMG-CoA reductase inhibitor to the mammal is disclosed herein below.

As used herein, "APP<sub>i</sub>" means the immature form of amyloid  $\beta$  protein precursor, "APP<sub>m</sub>" means the mature form of amyloid  $\beta$  protein precursor, "APP<sub>s</sub>" means the amyloid  $\beta$  protein precursor which is cleaved by  $\alpha$ -secretase and which is the secreted form, "APP" means either APP<sub>i</sub>, APP<sub>m</sub>, or both.

As used herein, "post-translational" events include the cleavage of APP<sub>m</sub> by  $\beta$ - and  $\gamma$ -secretases.

As used herein, "other cholesterol lowering treatments" means any treatment other than treatment with a HMG-CoA reductase inhibitor. Other cholesterol lowering treatments include, but are not limited to, treatment with mevalonate, methyl- $\beta$ -cyclodextrin, and/or cyclodextrin.

As used herein, "active metabolite" is intended to mean a pharmacologically active product produced through metabolism in the body of a specified compound or salt thereof. Active metabolites of a compound may be identified using routine techniques known in the art. See, e.g., Bertolini, G. et al., *J. Med. Chem.*, 40, 2011-2016 (1997); Shan, D. et al., *J. Pharm. Sci.*, 86 (7), 765-767; Bagshawe K., *Drug Dev. Res.*, 34, 220-230 (1995); Bodor, N., *Advances in Drug Res.*, 13, 224-331 (1984); Bundgaard, H., *Design of Prodrugs* (Elsevier Press 1985); and Larsen, I. K., *Design and Application of Prodrugs*, Drug Design and Development (Krogsgaard-Larsen et al., eds., Harwood Academic Publishers, 1991).

As used herein, the term "pharmaceutically acceptable salts" refers to salt forms that are pharmacologically acceptable and substantially non-toxic to the subject being administered the composition of the present invention. Pharmaceutically acceptable salts include conventional acid-addition salts or base-addition salts formed from suitable non-toxic organic or inorganic acids or inorganic bases. Exemplary acid-addition salts include those derived from inorganic acids such as hydrochloric acid, hydrobromic acid, hydroiodic acid, sulfuric acid, sulfamic acid, phosphoric acid, and nitric acid, and those derived from organic acids such as p-toluenesulfonic acid, methanesulfonic acid, ethane-disulfonic acid, isethionic acid, oxalic acid, p-bromophenylsulfonic acid, carbonic acid, succinic acid, citric acid, benzoic acid, 2-acetoxybenzoic acid, acetic acid, phenylacetic acid, propionic acid, glycolic acid, stearic acid, lactic acid, malic acid, tartaric acid, ascorbic acid, maleic acid, hydroxymaleic acid, glutamic acid, salicylic acid, sulfanilic acid, and fumaric acid. Exemplary base-addition salts include

those derived from ammonium hydroxides (e.g., a quaternary ammonium hydroxide such as tetramethylammonium hydroxide), those derived from inorganic bases such as alkali or alkaline earth-metal (e.g., sodium, potassium, lithium, calcium, or magnesium) hydroxides, and those derived from organic bases such as amines, benzylamines, piperidines, and pyrrolidines.

5 Any HMG-CoA reductase inhibitor may be used in the method of the present invention. The term "HMG-CoA reductase inhibitor" refers to any one or more compounds that inhibit the bioconversion of hydroxymethylglutamyl-coenzyme A to mevalonic acid which is catalyzed by the enzyme HMG-CoA reductase. Such inhibition may be determined by standard methods known to those of ordinary skill in the art. Examples of suitable HMG-CoA reductase inhibitors  
10 are described and referenced herein, however, other HMG-CoA reductase inhibitors will be known to those of ordinary skill in the art. Therefore, the present invention should not be limited to the specific HMG-CoA reductase inhibitors exemplified herein.

Examples of such HMG-CoA reductase inhibitors which are useful in the method of the present invention for the treatment of Alzheimer's disease include mevastatin which is described  
15 in U.S. Pat. No. 3,671,523; lovastatin which is described in U.S. Pat. No. 4,231,938; pravastatin which is described in U.S. Pat. No. 4,346,227; simvastatin which is described in U.S. Pat. No. 4,444,784; atorvastatin which is described in U.S. Pat. No. 4,647,576; rivastatin which is described in European Pat. No. 491226A; and fluvastatin which is described in U.S. Pat. No. 4,739,073. All of these patents are incorporated herein by reference. Further, any suitable  
20 isomers of the exemplified HMG-CoA reductase inhibitors may be used, including stereoisomers, enantiomers, or mixtures thereof and, thus, their use in pharmaceutical formulations for the treatment of APP disorders are within the scope of the invention.

Lovastatin is a metabolite which is produced by the natural fermentation of a fungus of the *Aspergillus* genus. The other compounds of this class are derived from natural and synthetic sources using well known procedures and have similar mechanisms of activity.

Any suitable method for administering the HMG-CoA reductase inhibitor may be used.

- 5 For example, the HMG-CoA reductase inhibitors may be administered orally to a mammal having Alzheimer's disease or Down's Syndrome an effective amount to relieve the symptoms of Alzheimer's disease or Down's Syndrome.

Preferably, the effective amount of the HMG-CoA reductase inhibitor results in the average blood plasma concentrations of the HMG-CoA reductase inhibitor or its active  
10 metabolite at steady-state being below about 50 micromolar. More preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 30 micromolar. Even more preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 20  
15 micromolar. In an even more preferred embodiment, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 10 micromolar. Even more preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is below about 5 micromolar. Even more preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active  
20 metabolite at steady-state is below about 1 micromolar. Most preferably, the blood plasma concentration of the HMG-CoA reductase inhibitor or its active metabolite at steady-state is about 0.5 micromolar.

Figure 7 shows the steady-state plasma concentrations (nanograms/ml) of lovastatin acid in patients after multiple oral 40 mg doses of Lovastatin XL, a preferred extended release tablet

form of lovastatin. Accordingly, based on a conversion factor and the known linear pharmacokinetics of lovastatin it can be expected that oral doses of about 233 mg Lovastatin XL (“Lovastatin XL” refers to a lovastatin controlled release formulation as exemplified herein below) given daily to a patient would result in average blood plasma level of the patient being about 0.5 micromolar.

However, the present inventors have surprisingly discovered that human patients given oral doses of only 10 mg/day, 20 mg/day, 40 mg/day and 60 mg/day of Lovastatin XL resulted in a statistically significant decrease in A $\beta$  peptide levels in the blood plasma of those patients. Accordingly, the inventors have unexpectedly found that the HMG-CoA reductase inhibitor may be administered to a human orally at daily doses of about 10 mg to about 60 mg.

Preferably, the HMG-CoA reductase inhibitor is administered to the mammal orally at a daily dose of about 0.2 mg to 10.0 mg per kg of body weight, given in divided doses. The HMG-CoA reductase inhibitors may be administered in any suitable form. For example, the HMG-CoA reductase inhibitor may be administered in the form of tablets, capsules or oral concentrates suitable for mixing the particular compound with food.

The criteria for the diagnosis of Alzheimer’s disease is well known and is set forth in the guidelines of the National Institute of Neurological and Communicative Disorders and Alzheimer’s Disease and Related Disorders Association (McKhann et al., Neurology 1984; 34: 939-944); and in the American Psychiatric Association, Diagnostic and Statistical Manual of Mental Disorders (Diagnostic and Statistical Manual IV), all of which are incorporated herein by reference. Generally the objective criteria for the diagnosis of Alzheimer’s disease include: gradual memory impairment and gradual onset of at least one of the following aphasia, apraxia, agnosia or disturbance of executive functioning.

Treatment may be continued until there is a reduction in the symptoms of Alzheimer's disease and the dosage may be adjusted in response to the mammal's individual response. Generally a positive response will not be seen until therapy has been continued for a minimum period of 90 to 365 days.

5 More preferably, a controlled release formulation (also herein after referred to as a "controlled release composition") of the HMG-CoA reductase inhibitor is utilized in order to provide an enhanced effect that cannot be achieved by conventional immediate release dosing. The use of a controlled release form may be specially useful for providing a constant level of the HMG-CoA reductase inhibitor in order to avoid dosage peaks and valleys in those mammals who  
10 have meals at irregular times or those who frequently eat snacks between meals.

Controlled release formulations have been described in U.S. Pat. No. 4,615,698 which have been based on an osmotic dosage form which is designed to collapse and cause the faced surfaces to come into a closed contacting arrangement as the drug is delivered through a passageway in the semi-permeable wall of the dosage form. In addition, U.S. Pat. No. 4,503,030  
15 discloses an osmotic dosage form which has a passageway and a semi-permeable membrane consisting of a particular cellulose polymer and a pH sensitive material which could be an enteric coating material. This patent describes the use of 1:1 mixtures of a pH sensitive material and cellulose polymer which are applied at a level of about 7% by weight based on the total weight of the osmotic core tablet and coating material. The aforementioned patents are incorporated  
20 herein by reference.

#### Preferred HMG-CoA Reductase Inhibitor Formulations

A preferred controlled release formulation is disclosed in U.S. Pat. No. 5,916,595, which is incorporated herein by reference. This type of a controlled release dosage form is preferably

prepared by combining the HMG-CoA reductase inhibitor with a pharmaceutically acceptable, water swellable polymer and an osmotic agent into a compressed tablet core having an optional first coating for sealing and protection and a second coating comprising a pH sensitive agent water insoluble polymer. More preferably, the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, and lovastatin and the active metabolite forms thereof. Even more preferably, the HMG-CoA reductase inhibitor comprises lovastatin or its active metabolite, lovastatin acid. Mevastatin, pravastatin, simvastatin, atorvastatin, and lovastatin are well known compounds that are described in the prior art including the particular patents which have been cited herein. It is also within the scope of the invention to use mixtures of different alkyl esters of hydroxy substituted naphthalenes.

Specifically, the pharmaceutically acceptable, water swellable polymer and the osmotic agent are combined with the HMG-CoA reductase inhibitor which may be micronized, comicronized or unmiconized or amorphous or crystalline and compressed to form the tablet core. The osmotic agent is any suitable non-toxic pharmaceutically acceptable water soluble compound which will dissolve sufficiently in water and increase the osmotic pressure inside the simple sugars and salts such as sodium chloride, potassium chloride, magnesium sulfate, magnesium chloride, sodium sulfate, lithium sulfate, urea, inositol, sucrose, lactose, glucose, sorbitol, fructose, mannitol, dextrose, magnesium succinate, potassium acid phosphate and the like. The preferred osmotic agent for the tablet core is a simple sugar such as anhydrous lactose in the range of about 0-50% by weight, based on the weight of the compressed, uncoated tablet.

The pharmaceutically acceptable, water swellable polymer may be any pharmaceutically acceptable polymer which swells and expands in the presence of water to slowly release the

HMG-CoA reductase inhibitor. These polymers include polyethylene oxide, methylcellulose, hydroxypropylcellulose, hydroxypropylmethylcellulose and the like.

In a preferred embodiment, the water swellable polymer will be polyethylene oxide (obtained from Union Carbide Corporation under the trade name Polyox WSR Coagulant or Polyox WSR N 80). These materials form a viscous gel in water or other solvent system at a sufficient concentration to control the release of the HMG-CoA reductase inhibitor. This will generally require a concentration of the pharmaceutically acceptable water swellable polymer of about 0-50% by weight of the compressed, uncoated tablet.

Any suitable binder may be employed. Preferably, the binder is used in a sufficient amount so that when it is combined with a suitable solvent, mixed with the water soluble osmotic agent and agitated, granules will be formed which may be compressed into a tablet core. Prior to compressing the granules, the conventional solid pharmaceutical diluents such as microcrystalline cellulose, lactose, dextrose and the like may be added to the granule based on the weight of the compressed, uncoated tablet. In the present case, the above mentioned osmotic agent, lactose, may function as a binder in the tablet compression step.

In the preparation of the tablets, any suitable solvent may be used to prepare the aforementioned granules. In addition, various other suitable diluents, excipients, lubricants, dyes, pigments, dispersants, emulsifiers, and the like may be used to optimize the HMG-CoA reductase inhibitor formulation.

Additionally, any suitable surfactant may be used. The surfactant may be any ionic or non-ionic water soluble surfactant which is preferably employed in the range of about 0-50% by weight and more preferably employed in the range of about 1-5% by weight. The preferred



surfactant for the present formulation is sodium lauryl sulfate but other surfactants such as polysorbate 20, 60, or 80; polyoxl 40 stearate and the like may be used.

Furthermore, a tabletizing formulation may also include any suitable lubricant. Ideally, the lubricant will be in the range of from about 0.5 to about 2.5% by weight of the compressed, uncoated tablet.

After the above described tablet core is formed, it is preferably coated with: 1) an optional protective first coating on the tablet core and/or an optional pH sensitive coating; and 2) an outer coating comprising a pH sensitive agent and a water insoluble polymer.

Specifically, a protective first coating may be used at a level in the range of about 0-10% by weight which may be applied from a coating system such as OPADRY CLEAR™ sold by Colorcon Corporation. In an especially preferred embodiment, the OPADRY CLEAR™ will be about 2.83% by weight and will be combined with an osmotic agent in the range of about 0-10% by weight. While the osmotic agent may be any suitable salt, low molecular weight molecule or water soluble polymer, the preferred osmotic agent is sodium chloride. Preferably, the osmotic agent is added to the coating system when the coating system is being dispersed into purified water. The coating system which contains the osmotic agent may then be sprayed onto the tablets to form a protective coating layer.

An optional inner or over coat over the outer coat may also be applied which comprises a pH sensitive polymer which functions as an enteric polymer in that it does not begin to dissolve until pH conditions in excess of the stomach region are encountered. Generally, the pH sensitive materials do not dissolve and begin to release the active drug until the pH is about 3.0, and preferably above about 5.5. Materials such as Eudragit L (copolymer of poly(methacrylic acid, methylmethacrylate), 1:1 ratio; MW (No. Av. 135,000 - USP Type A) or Eudragit S (copolymer

of poly(methacrylic acid, methylmethacrylate, 1:2 ratio MW (No. Av. 135,000 – USP Type B) may be used. Hydroxypropyl methyl cellulose phthalate and the like may be used in the range of about 0-30% by weight and preferably about 2 to about 4% by weight of the combined weight of the compressed, uncoated tablet and the inner coating of the pH sensitive polymer.

5 Preferably, the outer coating comprises a pH sensitive polymer which functions as an enteric polymer in that it does not begin to dissolve until pH conditions in excess of the pH of the stomach region are encountered and a water insoluble polymer which provide controlled release properties to the coating formulation. The pH sensitive polymer is preferably the same type of material that is described above as the optional inner coating layer. The water insoluble polymer 10 may be a cellulosic polymer such as ethylcellulose, cellulose acrylate, cellulose mono-, di- or triacetate. The pH sensitive polymer and the insoluble cellulosic polymer are used at a weight ratio of about 0.1:1 to about 0.75:1, preferably about 0.25:1 to about 0.5:1 of pH sensitive polymer to water insoluble cellulosic polymer. A combined coating weight of about 0.5-5% by weight and preferably about 1-4% by weight and especially preferred is about 1-3% by weight of 15 the gained weight based on the weight of the coated tablet core. Cellulose acetate is the preferred water insoluble polymer and the outer coating is preferably applied as a suspension in acetone.

Furthermore, any suitable plasticizer or combination of plasticizers may be added to the inner, outer or over coating to provide elasticity and shape to the coating. While the plasticizer 20 or combination of plasticizers may be any water soluble or water insoluble formulation in the range of about 0-10% by weight and preferably about 0.5-5% by weight of the outer coating composition. Acetyltributyl citrate is the preferred plasticizer but material such as acetyl triethyl

citrate, dibutyl phthalate, triacetin, diethyl phthalate, polyethylene glycol, propylene glycol and the like may be utilized.

Any suitable antioxidant such as butylated hydroxyanisole (BHA) or butylated hydroxytoluene (BHT) may be added to the tablet core as a stabilizer at a level of about 0.001-0.01 % by weight of the tablet core.

Any suitable channeling agent may be mixed with the aforementioned components of the outer coating. A channeling agent may be employed to increase the porosity of the film coating in order to increase the amount of the fluids that penetrate the tablet core and increase the rate of hydration. This allows the release of the HMG-CoA reductase inhibitor after the outer film coat ruptures. Generally, channeling agents may be any salts, surfactants, or short-chain water soluble polymers in a water channel forming effective amount, i.e., about 1-5% by weight, based on the total weight of the core and all coating components. The channeling agents include any pharmaceutically acceptable water soluble salt, surfactant, or short-chain water soluble polymer such as sodium chloride, potassium chloride, sucrose, polysorbate-80, hydroxypropyl cellulose, hydroxyethyl cellulose and the like.

Also, the inner or over coating may be supplied with an anti-sticking agent such as talc to overcome any tablet to tablet stickiness during the coating process. The amount of anti-sticking agent supplied is preferably in an amount which prevents sticking, more preferably in the range of about 0-6% by weight based on the weight of the tablets and the coating materials on a dry weight basis.

The tablets may be made by any suitable method, for example, in a smooth faced tablet die. Thereafter the tablet is preferably provided with the outer coating, which because of surface tension, will result in a thinner coating layer over the corners of the tablet which will provide an

area in the outer coating which will form a channel to allow intestinal fluid to reach the core of the tablet.

A preferred control release tablet useful in the practice of the present invention will have the following general formula as set forth in Table 1:

5

<b>Table 1</b>	
<b>Tablet Core:</b>	
Alkyl ester of a substitute naphthalene	3-20 wt%
Water Swellable Polymer	10-40 wt%
Antioxidant	0.001-0.01 wt%
Osmotic Agents	20-80 wt%
Surfactant	0-5 wt%
Lubricant	0-5 wt%
<b>Coatings:</b>	
Seal Coating	0-10 wt%
Osmotic Agents	0-10 wt%
<b>Inner Coating:</b>	
Enteric Polymer	0-30 wt%
Anti-sticking Agent	0-6 wt%
Plasticizer	0-6 wt%
Channeling Agents	0-6 wt%
<b>Outer Coating:</b>	
Blend of Enteric Polymer and Water-insoluble Polymer	0.5-5 wt%
Plasticizer(s)	0-1 wt%
Channeling Agents	0.2-5 wt%
<b>Overcoat:</b>	
Enteric Polymer	0-30 wt%
Anti-sticking Agent	0-6 wt%
Plasticizer	0-6 wt%
Channeling Agents	0-6 wt%
TOTAL	100 wt%

A particularly preferred tablet which is useful in the practice of the invention has the ingredients as set forth in Table 2 and may be prepared as set forth below:

<b>Table 2</b>		
Lovastatin	12.14 wt%	20.00 mg
Polyox WSR Coagulant, NF (polyethylene oxide Mw No. AV 5,000,000)	4.55 wt%	7.50 mg
Polyox WSR N 80, NF (polyethylene oxide Mw No. AV 200,000)	17.76 wt%	29.25 mg
Lactose (anhydrous)	51.30 wt%	84.50 mg
Sodium lauryl sulfate	3.04 wt%	5.00 mg
Cab-O-Sil (Silicon dioxide Fumed US/NF)	0.46 wt%	0.75 mg
Butylated hydroxy anisole	0.03 wt%	0.05 mg
Myvaplex 600P (glyceryl monostearate)	1.82 wt%	3.00 mg
<b>Seal Coating:</b>		
Opadry Clear (mixture containing hydroxypropyl methyl cellulose and polyethylene glycol)	3.42 wt%	5.63 mg
Sodium chloride	1.14 wt%	1.88 mg
<b>Outer Coating:</b>		
Cellulose acetate	1.43 wt%	2.36 mg
Eudragit S 100 (poly(methylacrylic acid) methylacrylate) 1:2 ratio MW (No. Av. 135,000 – USP Type B)	0.49 wt%	0.80 mg
Triacetin (Glycerol Triacetate)	0.11 wt%	0.19 mg
Polyethylene glycol 400	0.11 wt%	0.19 mg
Sugar, confectioners 6X micronized	0.72 wt%	1.18 mg
<b>Overcoat:</b>		
Hydroxypropylmethylcell. Phthal. 55	0.77 wt%	1.27 mg
Talc	0.30 wt%	0.49 mg
Acetyl tributyl citrate	0.12 wt%	0.20 mg
Sugar, confectioners 6X micronized	0.30 wt%	0.49 mg
	100.0 wt%	146.73 mg

The following describes the preferred process of making the above described dosage form:

Step 1. The tablet core

(a) Granulation

1. Pass Polyox WSR N80, sodium lauryl sulfate and anhydrous lactose through a 30 mesh stainless steel screen.
2. Charge the screened materials and lovastatin (micronized) into a vertical granulator.
3. Prepare a butylated hydroxy anisole solution by dissolving butylated hydroxy anisole in ethanol.
4. Prepare a mixture of ethanol and purified water.
5. Pre-mix the powder mixture from above (step 1(a)2) for 5 minutes.
6. Blend the powder mixture again, add the butylated hydroxyanisole solution and then the ethanol/water mixture.
7. Dry the resulting granules at 45-50 °C until the moisture content is lower than 1.8 wt%.
8. Pass the granules through a 1575 mesh using a Comil.

(b) Tableting

1. Mix Cab-O-Sil and Polyox WSR N80.
2. Pass the mixture of Cab-O-Sil and Polyox WSR N80 through a 24 mesh stainless steel screen with the Polyox WSR Coagulant.
3. Blend the screened materials with lovastatin granules for 15 minutes.

4. Pass Myvaplex through a 30 mesh stainless steel screen and combine with the other screen materials.
5. Blend for five minutes.
6. Compress the blend into tablets (164.72 mg, round, standard concave, 17/64" dia.) which contain 20 mg of lovastatin.

(c) Seal Coating: Opadry Clear

1. Dissolve sodium chloride in purified water.
2. Disperse Opadry Clear into the sodium chloride solution.
3. Spray lovastatin tablets with the aqueous coating suspension using a coater.

(d) Inner Coating: None

(e) Outer Coating: cellulose acetate

1. Dissolve cellulose acetate and Eudragit S100 in acetone using a homogenizer.
2. Add polyethylene glycol 400, triacetin and sugar to the solution and mix until a homogenous dispersion is obtained.
3. Spray the coating suspension onto the tablets in a coater.

(f) Overcoating: Hydroxypropyl methylcellulose phthalene 55 (HPMCP 55)

1. Dissolve hydroxypropyl methylcellulose phthalene 55 in acetone using a homogenizer.
2. Add acetyl tributyl citrate to the acetone solution and mix it with a homogenizer until a homogenized dispersion is obtained.
3. Add talc and sugar to the solution and mix it with a homogenizer until a homogenized dispersion is obtained.
4. Replace the homogenizer with a magnetic mixer and stir the coating mixture throughout the coating process.

5. Spray the Opadry Clear coated lovastatin tablets with the coating dispersion in a coater.

Other particularly preferred control release tablets useful in the practice of the present invention are those disclosed in U.S. Patent Application Serial No. 09/435,576, which is herein

5 incorporated by reference.

For example, a particularly preferred tablet which is useful in the practice of the present invention has the ingredients as set forth in Table 3 and may be prepared as set forth below:

<b>Table 3</b>		
Lovastatin	11.99 wt%	40.0 mg
Polyox WSR Coagulant, NF (polyethylene oxide Mw No. AV 5,000,000)	4.50 wt%	15.0 mg
Polyox WSR N 80, NF (polyethylene oxide Mw No. AV 200,000)	17.98 wt%	60.0 mg
Lactose (anhydrous)	50.65 wt%	169.0 mg
Sodium lauryl sulfate	3.00 wt%	10.0 mg
Silicon dioxide Fumed USP/NF	0.45 wt%	1.5 mg
Myvaplex 600P (glyceryl monostearate)	1.80 wt%	6.0 mg
<b>Seal Coating:</b>		
Opadry Clear (mixture containing hydroxypropyl methyl cellulose and polyethylene glycol)	2.81 wt%	9.4 mg
Sodium chloride	0.93 wt%	3.1 mg
<b>Inner Coating:</b>		
Hydroxypropylmethylcell.phthal.55	2.27 wt%	7.58 mg
Talc	0.78 wt%	2.60 mg
Acetyl tributyl citrate	0.22 wt%	0.75 mg
Sugar, confectioners 6X micronized	0.62 wt%	2.08 mg
<b>Outer Coating:</b>		
Cellulose acetate	1.00 wt%	3.32 mg
Eudragit S 100 (poly(methacrylic acid), methylmethacrylate, 1:2 ratio MW (No. Av. 135,000 – USP Type B)	0.34 wt%	1.13 mg
Triacetin (Glycol Triacetate)	0.08 wt%	0.27 mg
Polyethylene glycol 400	0.08 wt%	0.27 mg
Sugar, confectioners 6X micronized	0.50 wt%	1.66 mg
<b>TOTAL</b>	<b>100.00 wt%</b>	<b>333.66 mg</b>



The following describes a suitable process of making the above described dosage form:

## 5 Granulation

1. Pass Polyox WSR N80, sodium lauryl sulfate and anhydrous lactose through a 30 mesh stainless steel screen.
2. Charge the screened materials and lovastatin (micronized) into a vertical granulator.
3. Dissolve butylated hydroxy anisole in ethanol.
- 10 4. Mix ethanol, and purified water.
5. Pre-mix the powder mixture for 5 minutes.
6. Blend the powder mixture again, add the butylated hydroxyanisole solution and then the ethanol/water mixture.
7. Dry the granules at 45-50°C until the moisture content is lower than 1.8 wt%.
- 15 8. Pass the granules through a 1575 mesh using a Comil.

## Tabletting

1. Mix Cab-O-Sil and Polyox WSR N80.
2. Pass the mixture of Cab-O-Sil and Polyox WSR N80 through a 24 mesh stainless steel screen with the Polyox WSR Coagulant.
- 20 3. Blend the screen materials with lovastatin granules for 15 minutes.
4. Pass Myvaplex through a 30 mesh stainless steel screen and combine with the other screen materials.
5. Blend for five minutes.

6. Compress the blend into tablets (300 mg, round standard concave, 11/32") which contain 40 mg of lovastatin.

**Seal Coating: Opadry Clear**

1. Dissolve sodium chloride in purified water.
2. Disperse Opadry Clear into the sodium chloride solution.
3. Spray lovastatin tablets with the aqueous coating suspension using a coater.

**Inner Coating: Hydroxypropyl methylcellulose phthalate 55**

1. Dissolve hydroxypropyl methylcellulose phthalate 55 in acetone using a homogenizer.
2. Add acetyl tributyl citrate to the acetone solution and mix it with a homogenizer until a homogenized dispersion is obtained.
3. Add talc and sugar to the solution and mix it with a homogenizer until a homogenized dispersion is obtained.
4. Replace the homogenizer with a magnetic mixer and stir the coating mixture throughout the coating process.
5. Spray the Opadry Clear coated lovastatin tablets with the coating dispersion in a coater.

**Outer Coating: cellulose acetate**

1. Dissolve cellulose acetate and Eudragit S100 in acetone using a homogenizer.
2. Add polyethylene glycol 400, triacetin and sugar to the solution and mix until a homogeneous dispersion is obtained.
3. Spray the coating suspension onto the tablets in a coater.

Another example of a particularly preferred tablet has the ingredients as set forth in

Table 4:

<b>Table 4</b>		
Lovastatin	12.11 wt%	40.0 mg
Polyox WSR Coagulant, NF (polyethylene oxide Mw No av 5,000,000)	4.54 wt%	15.0 mg
Polyox WSR N 80, NF (polyethylene oxide Mw No av 200,000)	17.71 wt%	58.5 mg
Lactose (anhydrous)	51.13 wt%	168.9 mg
Sodium lauryl sulfate	3.03 wt%	10.0 mg
Cab-O-Sil (Silicon dioxide Fumed USP/NF)	0.45 wt%	1.5 mg
Butylated hydroxy anisole	0.03 wt%	0.10 mg
Myvaplex 600P (glyceryl monostearate)	1.82 wt%	6.0 mg
<b>Seal Coating:</b>		
Opadry Clear (mixture containing hydroxypropyl methyl cellulose and polyethylene glycol	2.85 wt%	9.4 mg
Sodium Chloride	0.94 wt%	3.1 mg
<b>Inner Coating:</b>		
Hydroxypropylmethylcell.phthal.55	2.29 wt%	7.58 mg
Talc	0.79 wt%	2.6 mg
Acetyl tributyl citrate	0.23 wt%	0.75 mg
Sugar, confectioners 6X micronized	0.08 wt%	0.27 mg
<b>Outer Coating:</b>		
Cellulose acetate	1.00 wt%	3.32 mg
Eudragit S 100 (polymethacrylicacid, methylmethaceylate, 1:2 ratio MW (No. AV. 135,000 – USP Type B)	0.34 wt%	1.13 mg
Triacetin	0.08 wt%	0.27 mg
Polyethylene glycol 400	0.08 wt%	0.27 mg
Sugar, confectioners 6X micronized	0.50 wt%	1.66 mg
TOTAL	100.00 wt%	330.35 mg

The preferred tablet having the ingredients as set forth in Table 4 may be prepared as

5 described above for the preparation of the preferred tablet having the ingredients as set forth in

Table 3.

Another example of a particularly preferred tablet has the ingredients as set forth in

Table 5 and may be prepared as set forth below:

<b>Table 5</b>		
Lovastatin	12.14 wt%	20.0 mg
Polyox WSR Coagulant, NF (polyethylene oxide Mw No. AV 5,000,000)	4.55 wt%	7.5 mg
Polyox WSR N 80, NF (polyethylene oxide Mw No. AV 200,000)	17.76 wt%	29.25 mg
Lactose (anhydrous)	51.30 wt%	84.5 mg
Sodium lauryl sulfate	3.04 wt%	5.0 mg
Cab-O-Sil (Silicon dioxide Fumed USP/NF)	0.46 wt%	0.75 mg
Butylated hydroxy anisole	0.03 wt%	0.05 mg
Myvaplex 600P (glyceryl monostearate)	1.82 wt%	3.0 mg
<b>Seal Coating:</b>		
Opadry Clear (mixture containing hydroxypropyl methyl cellulose and polyethylene glycol)	3.42 wt%	5.63 mg
Sodium chloride	1.14 wt%	1.88 mg
<b>Outer Coating:</b>		
Cellulose acetate	1.43 wt%	2.36 mg
Eudragit S 100 (polymethylacrylic acid, methylacrylate, 1:2 ratio MW (No. Av. 135,000 – USP Type B)	0.49 wt%	0.80 mg
Triacetin	0.11 wt%	0.19 mg
Polyethylene glycol 400	0.11 wt%	0.19 mg
Sugar, confectioners 6X micronized	0.72 wt%	1.18 mg
<b>Overcoat:</b>		
Hydroxypropylmethylcell. Phthal. 55	0.77 wt%	1.27 mg
Talc	0.30 wt%	0.49 mg
Triacetin	0.12 wt%	0.20 mg
Sugar, confectioners 6X micronized	0.30 wt%	0.49 mg
TOTAL	100.0 wt%	146.73 mg

The following describes the process of making the above described dosage form:

## Granulation

1. Pass Polyox WSR N80, sodium lauryl sulfate and anhydrous lactose through a 30 mesh stainless steel screen.
2. Charge the screened materials and lovastatin (micronized) into a vertical granulator.
- 5 3. Dissolve butylated hydroxy anisole in ethanol.
4. Mix ethanol and purified water.
5. Pre-mix the powder mixture for 5 minutes.
6. Blend the powder mixture again, add the butylated hydroxyanisole solution and then the ethanol/water mixture.
- 10 7. Dry the granules at 45-50°C until the moisture content is lower than 1.8 wt%.
8. Pass the granules through a 1575 mesh using a Comil.

## Tabletting

1. Mix Cab-O-Sil and Polyox WSR N80.
- 15 2. Pass the mixture of Cab-O-Sil and Polyox WSR N80 through a 24 mesh stainless steel screen with the Polyox WSR Coagulant.
3. Blend the screen materials with lovastatin granules for 15 minutes.
4. Pass Myvaplex through a 30 mesh stainless steel screen and combine with the other screen materials.
- 20 5. Blend for five minutes.
6. Compress the blend into tablets (164.72 mg, round, standard concave, 17/6411 dia.) which contain 20 mg of lovastatin.

**Seal Coating: Opadry Clear**

1. Dissolve sodium chloride in purified water.
2. Disperse Opadry Clear into the sodium chloride solution.
3. Spray lovastatin tablets with the aqueous coating suspension using a coater.

5 **Inner Coating: None**

**Outer Coating: Cellulose acetate**

1. Dissolve cellulose acetate and Eudragit S100 in acetone using a homogenizer.
2. Add polyethylene glycol 400, triacetin and sugar to the solution and mix until a homogeneous dispersion is obtained.
3. Spray the coating suspension onto the tablets in a coater.

**Overcoating: Hydroxypropyl methylcellulose phthalate 55**

1. Dissolve hydroxypropyl methylcellulose phthalate 55 in acetone using a homogenizer.
2. Add acetyl tributyl citrate to the acetone solution and mix it with a homogenizer until a homogenized dispersion is obtained.
3. Add talc and sugar to the solution and mix it with a homogenizer until a homogenized dispersion is obtained.
4. Replace the homogenizer with a magnetic mixer and stir the coating mixture throughout the coating process.
5. Spray the Opadry Clear coated lovastatin tablets with the coating dispersion in a coater.

Another example of a particularly preferred tablet has the ingredients as set forth in Table 6 and may be prepared by the same general procedure as described above for the preparation of the

tablet having the ingredients as set forth in Table 5, except that no inner coating is applied and an outer enteric coating is applied as an overcoat over the outer layer.

<b>Table 6</b>		
Lovastatin	12.20 wt. %	20.0 mg.
Polyox WSR Coagulant, NF (Polyethylene oxide Mw No av 5,000,000)	4.57 wt. %	7.5 mg.
Polyox WSR N 80, NF (polyethylene oxide Mw No av 200,000)	17.84 wt. %	29.25 mg.
Lactose (anhydrous)	51.53 wt. %	84.5 mg.
Sodium lauryl sulfate	3.05 wt. %	5.0 mg.
Silicon dioxide fumed USP/NF	0.46 wt. %	0.75 mg.
Butylated hydroxy anisole	0.03 wt. %	0.05 mg.
Myvaplex 600P (glyceryl monostearate)	1.83 wt. %	3.0 mg.
<b>Seal Coating:</b>		
Opadry Clear (mixture containing hydroxypropyl methyl cellulose and polyethylene glycol)	3.43 wt. %	5.63 mg.
Sodium chloride	1.15 wt. %	1.88 mg.
<b>Inner Coating: None</b>		
<b>Outer Coating:</b>		
Cellulose acetate	1.96 wt. %	3.21 mg.
Eudragit S 100	0.66 wt. %	1.09 mg.
Acetyl tributyl citrate	0.32 wt. %	0.52 mg.
Sugar, confectioners 6X micronized	0.98 wt. %	1.61 mg.
<b>TOTAL</b>	<b>100.00 wt. %</b>	<b>163.99 mg.</b>

5

Other examples of particularly preferred 40 mg. tablets have the ingredients as set forth in Table 7 and may be prepared by the same method described above for preparing the tablet having the ingredients as set forth in Table 3.

<b>Table 7</b>			
<b>Summary of Lovastatin Formulations</b>			
Ingredient	Weight Percent		
	Tablet A	Tablet B	Tablet C
Lovastatin (strength, mg)	40 wt. %	40 mg.	40 mg.
<b>Tablet Core</b>			
1. Lovastatin	12.11 wt. %	12.28 wt. %	12.28 wt. %
2. Lactose (Anhydrous)	51.13 wt. %	51.8 wt. %	51.8 wt. %
3. Polyox® WSR Coagulant	4.54 wt. %	4.6 wt. %	4.6 wt. %
4. Polyox® WSR N80	17.71 wt. %	17.94 wt. %	17.94 wt. %
5. Sodium Lauryl Sulfate	3.03 wt. %	3.06 wt. %	3.06 wt. %
6. Glyceryl Monostearate	1.82 wt. %	1.84 wt. %	1.84 wt. %
7. Silicon Dioxide	0.45 wt. %	0.46 wt. %	0.46 wt. %
8. Butylated Hydroxyanisole	0.03 wt. %	0.02 wt. %	0.02 wt. %
<b>Seal Coat</b>			
1. Opadry Clear	2.85 wt. %	2.88 wt. %	2.88 wt. %
2. Sodium Chloride Powder	0.94 wt. %	0.96 wt. %	0.96 wt. %
<b>Inner Coat</b>			
1. HPMCP 55	2.29 wt. %	1.61 wt. %	1.61 wt. %
2. Talc, USP	0.79 wt. %	0.55 wt. %	0.55 wt. %
3. Acetyltributyl Citrate	0.23 wt. %	0.16 wt. %	0.16 wt. %
4. Sugar, Micronized	0.64 wt. %	0.44 wt. %	0.44 wt. %
<b>Outer Coat</b>			
1. Cellulose Acetate	1 wt. %	0.7 wt. %	0.7 wt. %
2. Eudragit S100	0.34 wt. %	0.24 wt. %	0.24 wt. %
3. Triacetin	0.08 wt. %	0.06 wt. %	0.06 wt. %
4. Polyethylene Glycol 400	0.08 wt. %	0.6 wt. %	0.6 wt. %
5. Acetyltributyl Citrate	-	-	-
6. Sugar, Micronized	0.5 wt. %	0.35 wt. %	0.35 wt. %
<b>Overcoat</b>			
1. HPMCP 55	-	-	-
2. Talc, USP	-	-	-
3. Triacetin	-	-	-
4. Sugar, Micronized	-	-	-
5. Opadry Yellow	-	-	-
6. Opadry Pink	-	-	-
<b>TOTAL TABLET WEIGHT, %</b>	<b>100 wt. %</b>	<b>100 wt. %</b>	<b>100 wt. %</b>



Examples of other preferred tablets having the ingredients as set forth in Table 8 may be prepared by the same method described above for preparing the tablet having the ingredients as set forth in Table 3.

<b>Table 8</b>		
Summary of Lovastatin Formulations		
Ingredient	Weight Percent	
	Tablet D	Tablet E
Lovastatin (strength, mg)	20 mg.	10 mg.
<b>Tablet Core</b>		
1. Lovastatin	11.69 wt. %	5.84 wt. %
2. Lactose (Anhydrous)	49.32 wt. %	55.18 wt. %
3. Polyox® WSR Coagulant	4.38 wt. %	4.38 wt. %
4. Polyox® WSR N80	18.08 wt. %	17.09 wt. %
5. Sodium Lauryl Sulfate	2.92 wt. %	2.92 wt. %
6. Blyceryl Monostearate	1.75 wt. %	1.75 wt. %
7. Silicon Dioxide	0.44 wt. %	0.44 wt. %
8. Butylated Hydroxyanisole	0.02 wt. %	0.01 wt. %
<b>Seal Coat</b>		
1. Opadry Clear	2.74 wt. %	2.74 wt. %
2. Sodium Chloride Powder	0.91 wt. %	0.91 wt. %
<b>Inner Coat</b>		
1. HPMCP 55	2.21 wt. %	2.21 wt. %
2. Talc, USP	0.76 wt. %	0.76 wt. %
3. Acetyltributyl Citrate	0.22 wt. %	0.22 wt. %
4. Sugar, Micronized	0.61 wt. %	0.61 wt. %
<b>Outer Coat</b>		
1. Cellulose Acetate	0.97 wt. %	0.97 wt. %
2. Eudragit S100	0.33 wt. %	0.33 wt. %
3. Triacetin	0.08 wt. %	0.08 wt. %
4. Polyethylene Glycol 400	0.08 wt. %	0.08 wt. %
5. Acetyltributyl Citrate	-	-
6. Sugar, Micronized	0.49 wt. %	0.49 wt. %
<b>Overcoat</b>		
1. HPMCP 55	-	-
2. Talc, USP	-	-
3. Triacetin	-	-
4. Sugar, Micronized	-	-
5. Opadry Yellow	3 wt. %	3 wt. %
6. Opadry Pink	-	-
<b>TOTAL TABLET WEIGHT, %</b>	<b>100 wt. %</b>	<b>100 wt. %</b>

As illustrated in the following examples, cholesterol depletion may lead to a decrease in the release and formation of A $\beta$  peptides in the cells. Additionally, the applicants have discovered that the decreased release of A $\beta$  peptides is not due to the accumulation of the A $\beta$  peptide in the cells, but rather due to the decreased formation of A $\beta$  peptides. Further, the formation APP<sub>s</sub>, is also reduced by cholesterol treatment with a HMG-CoA reductase inhibitor, but to a much lesser degree. Further, decreased maturation (glycosylation and sulfation) of APP<sub>i</sub> has been excluded as a cause for the effects cholesterol depletion treatment with a HMG-CoA reductase inhibitor on APP<sub>m</sub> processing and A $\beta$  peptide formation. Thus, applicants have discovered that reducing cellular cholesterol by the use of an HMG-CoA reductase inhibitor regulates APP<sub>m</sub> processing and A $\beta$  formation.

In the following examples, EasyTag™ EXPRESS™ Methionine Protein Labeling Mix, [<sup>35</sup>S] (spec. activity >1,000 Ci/mMol) was obtained from NEN Life Sciences, Boston, MA; Fetal Calf Lipid Depleted Serum (FCLPDS) was obtained from Intracel, Rockville, MD; Dulbecco's modified Eagles Medium (DMEM) was obtained from BioWittaker, Walkersville, MD; Dulbecco's phosphate buffered saline (PBS) and Fetal Bovine Serum (FBS) were obtained from Life Technologies, Rockville, MD; Antibody 6E10 was obtained from Senetek, Napa, CA; Agarose bound antisera anti-mouse IgG was obtained from American Qualex Antibodies, San Clemente, CA; Protein A sepharose was obtained from Pharmacia Biotech, Piscataway, NJ; Tissue culture plates were obtained from Falcon, Lincoln Park, NJ with the exception of the 10 mm culture dishes with glass coverslips which were obtained from MatTek Corporation, Ashland, NM; and all other chemicals were obtained from Sigma, St. Louis, MO.

The three cell lines utilized were: Chinese Hamster Ovary (CHO) cells expressing the 751 amino acid form of APP; Mabin-Darby Canine Kidney (MDCK) cells which overexpress the

695 amino acid form of APP; Human neuroglioma (H4) cells overexpressing the 695 form of human APP. All cells were prepared by the stable introduction of a cDNA coding for human APP. All cell lines were maintained in DMEM containing 10% FBS and antibiotics.

## EXAMPLES

5 The following examples are intended to illustrate but not to limit the invention.

### Example 1

#### Effects of Cholesterol Depletion

In order to characterize the effects of cholesterol depletion, cell cultures of each cell line were cultured on six-well plates for 4 days in DMEM containing 10% FCLPDS, which lipid  
10 depleted medium reduced the external source of cholesterol, in the presence of lovastatin acid (LA) or absence of LA.

In order to confirm that this treatment was sufficient to reduce cellular cholesterol, filipin, a fluorescent dye that binds to cholesterol, was utilized to provide a visual and quantitative measure of the level of cholesterol in the membrane. Generally, the cells were plated onto 10  
15 mm culture dishes in media containing DMEM with 10% FCLPDS and antibiotics. Following incubation, cells were washed once with PBS and fixed with 3% paraformaldehyde in PBS for 1 hour, followed by washing 3 times in PBS for 5 minutes and quenching with 1.5 mg/ml glycine in PBS for 10 minutes. The cells were subsequently stained with 0.5 mg/ml filipin in PBS for 2 hours and washed 3 times for 5 minutes in PBS. After the final wash, the cells were visualized  
20 under a fluorescent microscope.

For measurement of APP processing and A $\beta$  peptide formation, medium was removed and the cells were washed once with PBS and then incubated for 2 hours in DMEM containing 1 mCi/ml [<sup>35</sup>S] Methionine. After this "pulse" period, the cells were either (1) lysed to measure the

total labeled APP<sub>i</sub> and APP<sub>m</sub> at time zero, or (2) the cells were incubated for 2 hours in fresh, unlabeled complete medium ("chase") and then lysed. Then the cell supernatants and lysates were treated with the appropriate antibody to calculate the amounts of APP<sub>i</sub>, APP<sub>m</sub>, APP<sub>s</sub>, and Aβ peptides.

5 To measure cell-associated, full-length APP<sub>i</sub> and APP<sub>m</sub>, or to measure carboxyl-terminal fragments of APP, cell lysates were incubated with antibody 369 which recognizes the carboxyl-terminus of APP. *See* Buxbaum, J. D., et al. (1990) *Proc Natl Acad Sci USA* 87:6003-6, which is incorporated herein by reference.

10 To measure Aβ peptides, or to measure APP<sub>s</sub>, which is the secreted carboxyl-terminal truncated form, cell supernatants were incubated with antibody 6E10, which recognizes the first 15 amino acids of the Aβ peptide that correspond to the COOH-terminal amino acids of APP<sub>s</sub>. *See* Buxbaum, J. D., et al. (1994) *Proc Natl Acad Sci USA*. 91:4489-93, which is incorporated herein by reference.

15 The incubations with antibody 6E10 or antibody 369 were performed at 4°C for 75 minutes followed by a 45 minute incubation at 4°C with either agarose-linked anti-mouse IgG for antibody 6E10 or protein A sepharose for antibody 369. The beads were then washed three times for 10 minutes and then run on either a 10-20% Tris-Tricine Gel for APP<sub>s</sub> and Aβ peptides or an 8% polyacrylamide gel for cell-associated APP. The gels were dried and exposed to a Phosphor Imager<sup>®</sup> screen (STORM 860, Molecular Dynamics) and exposed for a minimum of  
20 two days. The protein bands were visualized on a STORM 860 Phosphor Imager<sup>®</sup> (Molecular Dynamics) and quantitated using ImageQuant<sup>®</sup> (Molecular Dynamics).

To measure extracellular APP<sub>s</sub> and fragments thereof, the cell culture supernatant were utilized. To measure the intracellular APP<sub>i</sub> and APP<sub>m</sub> and fragments thereof, the cell lysates were utilized.

To determine whether a decrease in cholesterol is associated with a change in the amount of extracellular Aβ peptides, the H4, MDCK, and CHO cells, expressing human APP<sub>m</sub> were incubated for 4 days in the presence or absence of 0.5 μM LA. The cells were then incubated in serum-free media containing 1 mCi/ml [<sup>35</sup>S] Methionine for 2 hours followed by incubation in complete fresh serum-free medium containing unlabeled methionine for an additional 2 hours. The [<sup>35</sup>S]-labeled Aβ peptides were immunoprecipitated from the cell culture supernatant, resolved by SDS-PAGE, and visualized by autoradiography. See Figures 2a, 3a, and 4a. Relative levels of extracellular Aβ peptides were determined under each condition by quantitative PhosphorImager autoradiography. See Figures 2b, 3b, and 4b.

By subsequently focusing only on the [<sup>35</sup>S]-labeled protein, the amounts of [<sup>35</sup>S]-labeled Aβ peptides were normalized to the levels of total [<sup>35</sup>S]-labeled APP<sub>i</sub> by dividing total [<sup>35</sup>S]-labeled Aβ by total [<sup>35</sup>S]-labeled APP<sub>i</sub> to exclude any changes in Aβ peptide levels due to decreased synthesis of Aβ peptides. At the end of the 2 hour chase the analysis was restricted to the proteolysis of APP<sub>m</sub> and secretion of Aβ peptides. See Buxbaum, J. D., et al. (1990) *Proc Natl Acad Sci USA*. 87:6003-6, which is herein incorporated by reference.

As shown in Figures 2a, 2b, 3a, 3b, 4a, and 4b, the amounts of extracellular Aβ peptides in the presence of 0.5 μM LA decreased by 40-60% as compared to the untreated cells. Treatment of cells with 0.5 μM LA had a weaker effect on decreasing extracellular Aβ peptide levels (<20% reduction).

To determine whether the decreased levels of extracellular A $\beta$  peptides observed were due to decreased formation of A $\beta$  peptides, rather than decreased secretion of A $\beta$  peptides from the cells, the levels of [ $^{35}$ S]-labeled intracellular A $\beta$  peptides within the cell were measured in the cell lysates. No detectable levels of intracellular A $\beta$  peptides were observed in cells incubated in the presence or absence of 0.5  $\mu$ M LA. Therefore, the decrease in extracellular A $\beta$  peptides was not due to decreased secretion of A $\beta$  peptides, but instead confirms that it was due to the decreased formation of A $\beta$  peptides from the cleavage of APP<sub>m</sub>.

To determine whether cholesterol depletion affects other aspects of APP processing, H4 cells were incubated for four days in the presence or absence of 0.5  $\mu$ M LA, and subjected to metabolic labeling. The levels of [ $^{35}$ S]-labeled APP<sub>i</sub> and APP<sub>m</sub> were determined by immunoprecipitation from cell lysates with an antibody against the COOH-terminal of APP, followed by quantitative autoradiography. Similarly, the levels of [ $^{35}$ S]-labeled extracellular and intracellular A $\beta$  peptides were determined by immunoprecipitation of either cell culture supernatants (extracellular A $\beta$  peptides) or cell lysates (intracellular A $\beta$  peptides). The amounts of each were normalized to the levels of [ $^{35}$ S]-labeled APP<sub>i</sub> found in cells at the beginning of the chase. Normalization was done by dividing the relevant value by the levels of [ $^{35}$ S]-labeled APP<sub>i</sub> found in cells at the beginning of the chase.

As illustrated in Figure 5, a modest decrease in [ $^{35}$ S]-labeled APP<sub>s</sub> formation in cells incubated in the presence of LA, as compared to control cells was observed. Figure 1 is a schematic illustrating APP processing. Because APP<sub>m</sub> is likely to be the precursor for both APP<sub>s</sub> and A $\beta$  peptides, decreased formation of both APP<sub>s</sub> and A $\beta$  peptides might suggest a decrease in the levels of APP<sub>m</sub>. To examine this, the levels of [ $^{35}$ S]-labeled APP<sub>m</sub> was measured in cells incubated in the absence or presence of 0.5  $\mu$ M LA. As shown in Figure 6, the effects on

maturation were not sufficient to account for the decrease in A $\beta$  peptide levels. Therefore, the effects of LA on A $\beta$  peptide levels could not be accounted for by decreased maturation of APP<sub>m</sub> and instead reflect effects of LA on the post-Golgi processing or trafficking of APP<sub>m</sub> or both.

5

## Example 2

### Effective Concentration Range of Lovastatin Acid

To determine the effective range of concentrations of LA, each cell type was grown in the absence or presence of various concentrations of LA by the methods described in Example 1. As shown in the bar graphs in Figures 2a, 2b, 3a, 3b, 4a, and 4b, the amount of extracellular A $\beta$  peptides decreased with increasing LA concentrations and a concentration of 0.05  $\mu$ M LA or higher was sufficient to significantly ( $p < 0.001$ ) decrease the amount of extracellular A $\beta$  peptides under these experimental conditions.

10

## Example 3

### Candidate Substance Screening

CHO cells were determined to be suitable for candidate screening because treatment with LA does not affect the maturation of APP<sub>i</sub> to APP<sub>m</sub> in the CHO cells. Specifically, it was determined that treatment of CHO cells with 0.5  $\mu$ M LA reduced the amount of extracellular APP<sub>s</sub> by about 30% of the amount calculated for the control and reduced the amount of extracellular A $\beta$  peptides by about 70% of the amount calculated for the control when no LA was present. The amount of extracellular A $\beta$  peptides and the amount of extracellular APP<sub>s</sub> were normalized to the amount of total APP found in the cell at the end of cell labeling as described above. This normalization provides an effective means of accounting for any differences between cultures and any differences due to altered APP<sub>i</sub> synthesis or maturation in cells treated

15

20

with the candidate compounds. However, the level of total APP<sub>m</sub> was comparable between the control and the treated cells ( $3.2 \times 10^6$  arbitrary units and  $3.4 \times 10^6$  arbitrary units, respectively). This suggests that the maturation of APP<sub>i</sub> to APP<sub>m</sub> was not affected in the CHO cells under experimental conditions.

5           Thus, CHO cells and other cells which manufacture A $\beta$  peptides may be used as a suitable screening tool for a candidate substance which affects the synthesis, maturation or post-translational processing of APP. The cells are cultured on six-well plates for 4 days in DMEM containing 10% FCLPDS, which lipid depleted medium reduced the external source of cholesterol, in the presence of the candidate substance or absence of the candidate substance.

10           Specifically, the CHO cells are pulsed with [<sup>35</sup>S] Methionine in the absence and presence of the candidate substance. After the pulse period, the cells are either (1) chased for two hours, or (2) lysed to determine the total intracellular APP at time zero.

          Then the lysates may be labeled with the appropriate antibody to calculate the amounts of APP<sub>i</sub>, APP<sub>m</sub>, APP<sub>s</sub>, and A $\beta$  peptides.

15           To measure cell-associated, full-length APP<sub>i</sub> and APP<sub>m</sub>, or to measure carboxyl-terminal fragments of APP, cell lysates are incubated with antibody 369 which recognizes the carboxyl-terminus of APP. *See* Buxbaum, J. D., et al. (1990) *Proc Natl Acad Sci USA* 87:6003-6, which is incorporated herein by reference.

20           To measure A $\beta$  peptides, or to measure APP<sub>s</sub>, which is the secreted carboxyl-terminal truncated form, cell supernatants are incubated with antibody 6E10, which recognizes the first 15 amino acids of the A $\beta$  peptide that correspond to the COOH-terminal amino acids of APP<sub>s</sub>. *See* Buxbaum, J. D., et al. (1994) *Proc Natl Acad Sci USA*. 91:4489-93, which is incorporated herein by reference.



The incubations with antibody 6E10 or antibody 369 are performed at 4°C for 75 minutes followed by a 45 minute incubation at 4°C with either agarose-linked anti-mouse IgG for antibody 6E10 or protein A sepharose for antibody 369. The beads are then washed three times for 10 minutes and then run on either a 10-20% Tris-Ticine Gel for APP<sub>s</sub> and Aβ peptides or an 8% polyacrylamide gel for cell-associated APP. The gels are dried and exposed to a Phosphor Imager<sup>®</sup> screen and exposed for a minimum of two days. The protein bands are visualized on a STORM 860 Phosphor Imager<sup>®</sup> (Molecular Dynamics) and quantitated using ImageQuant (Molecular Dynamics).

To measure extracellular APP<sub>s</sub> and fragments thereof, the cell culture supernatant are utilized. To measure the intracellular APP<sub>i</sub> and APP<sub>m</sub> and fragments thereof, the cell lysates are utilized.

The amount of extracellular Aβ peptides and the amount of extracellular APP<sub>s</sub> are normalized to the amount of total APP<sub>i</sub> found in the cell at the end of cell labeling as described above. This normalization provides an effective means of accounting for any differences between cultures and any differences due to altered APP<sub>i</sub> synthesis or maturation in cells treated with the candidate compounds.

#### Example 4

#### Human Trials

A study was conducted to assess the effects of Lovastatin XL on blood lipid levels in patients with hyperlipidemia. Patients were treated with placebo, 10, 20, 40 or 60 mg per day of lovastatin administered as Lovastatin XL. Blood samples were obtained from selected patients prior to dosing and at 1 month after dosing. Because this clinical trial was carried out as part of an New Drug Application, at the time of filing U.S. Provisional Patent Application No.

60/223,987 (“the ‘987 application”), the inventors were not permitted to determine what dose (placebo, 10, 20, 40 or 60 mg) each of the selected patients where given per day. These blood samples were assayed for A $\beta$  peptide concentrations (pg/ml). The results are listed in Table 9 below:

5

**Table 9** Baseline and 1-Month Beta Amyloid Values

Patient	Baseline A $\beta$ peptide conc. (pg/ml)	Change in A $\beta$ peptide conc. after 1 Month (pg/ml)
1	145.2	-57.8
2	211.1	-30.5
3	151.1	-16.9
4	175.5	60.6
5	388.1	44
6	499.7	-172.7
7	164	-64.1
8	220	-67.5
9	215.5	-80.3
10	370.1	-18.5
11	403.9	-76.2
12	48.9	-32.9
13	15.6	38.4
14	64.6	-13.4
15	34.3	-18
16	12	-1.1
17	45.4	10.9
18	12	4.6
19	37.3	-5.6
20	30.6	0.2
21	35.2	10
22	138.5	-112.5
23	60.6	-38.5
24	50	22
25	73	-22
26	133	-17
27	23	70
28	82	-21
29	4	4
30	56	5
31	59	17
32	2	4
33	3	1
34	181	-42
35	0	5
36	175.4	-37
Mean	120.016667	-18.0222222
SD	126.784261	46.9449472

As can be seen from Table 9, the mean A $\beta$  peptide concentration prior to treatment was

5 120 pg/ml, which decreased by about 18 pg/ml after one month of treatment with Lovastatin XL.

The change from pre-treatment was statistically significant ( $p=0.0273$ ) as shown in Table 10. In

the instant case, one of ordinary skill in the art will understand that the above referred to p value represents the probability that the reported change in A $\beta$  peptide concentration could occur by chance. One of ordinary skill in the art will also understand that a p value of less than 0.05 signifies that the reported change is statistically meaningful.

5

**Table 10** Statistical Analysis of Change From Baseline

Results:

Two tailed T-test results:					
Variable	MU0	Estimate	Std. Err.	DF	Tstat
var1	0	-18.022223	7.8241577	35	-2.3034072
Variable	Pval				
var1	0.0273				

Following the filing of the '987 application, the inventors have performed a more detailed analysis of the data obtained from the human trials. Table 11 sets forth a dose-response analysis of the data and shows the mean percentage change in the A $\beta$  peptide concentration in the blood of the patients treatment with placebo, 10, 20, 40 and 60 mg/day Lovastatin XL. Blood samples were taken from the patients after four weeks of treatment (Study Visit No. 5), after eleven weeks of treatment (Study Visit No. 7) and twelve weeks of treatment with Lovastatin XL (Study Visit No. 8).

**Table 11** Effect of Various Doses of Lovastatin XL on A $\beta$  Peptide Concentration

DOSE (mg/day)		Study Visit No. 5	Study Visit No. 7	Average of Study Visit Nos. 7 & 8	ENDPOINT
0	N	11	6	8	12
	MEAN	-1.78	-16.27	-21.11	-11.87
	STD	33.69	29.03	23.16	27.03
10	N	10	5	6	10
	MEAN	8.09	43.29	10.21	-0.21
	STD	64.92	72.69	57.83	49.66
20	N	10	5	8	11
	MEAN	0.59	-18.21	-27.81	-21.71
	STD	35.56	43.00	49.91	45.04
40	N	6	3	4	10
	MEAN	-6.51	-6.15	-26.35	-11.92
	STD	22.75	32.05	48.13	46.12
60	N	8	3	7	10
	MEAN	-5.08	-16.27	-35.47	-39.42
	STD	39.78	25.78	27.83	30.09

N—No. of patients

MEAN—mean % change in the A $\beta$  peptide concentration in the blood of patients

STD—Standard deviation

The graph shown in Figure 8 depicts the results set forth in Table 11 above. In particular, the graph shows the change in mean A $\beta$  peptide concentration in the blood of patients after one month of treatment with Lovastatin XL as a function of the dose administered. Figure 8 also includes a “trendline”, i.e., the best straight-line approximation, of the data presented in the graph. As can be seen from the “trendline”, its direction and slope clearly suggests that the dose of Lovastatin XL administered does have an effect on the mean A $\beta$  peptide concentration. The inventors also found that the dose-response analysis for the endpoint values was statistically significant (p=0.0442).

Further human trials have been conducted. Patients meeting the current criteria for treatment with lipid lowering agents were treated with single-blind placebo for 4 weeks. Those

patients were then randomly assigned to receive daily doses of 10, 20, 40 or 60 mg/day of a controlled release lovastatin (Lovastatin XL) or matching placebo under double-blind conditions. Serum samples from those patients were obtained prior to and after 3 months of dosing. The serum samples were assayed for A $\beta$  peptide using the assay set forth in Example 5 below. The assay results, expressed as percent change from pre-treatment of serum A $\beta$  peptide concentration levels, are shown in Figure 9.

As can be seen from Figure 9, the placebo treated patients showed a mean increase of serum A $\beta$  peptide concentration levels from baseline, however, this difference was not found to be statistically significant. The mean percent changes in the serum A $\beta$  peptide concentration levels for the patients who received treatment with the controlled release formulation of lovastatin all decreased. These reported percentage changes for the groups of patients treated with 20, 40 and 60 mg/day were determined to be statistically significant  $p < 0.01$  (t-test). Further, the percentage changes for the groups of patients treated with 40 and 60 mg/day were determined to be statistically significantly different from those of the placebo group,  $p < 0.05$  (t-test).

#### Example 5

##### A $\beta$ End-Specific Protocol

The serum samples referred to above in Example 4 were assayed for A $\beta$  peptide using appropriate assays. The assay used for the human trials, the results of which are set forth in Figure 9, was carried out as follows:

Ninety-six well plates (Falcon Probind) were coated with 150  $\mu$ l of the 4G8 monoclonal antibody (Senetek Crude IgG Ascites Fluid) in carbonate-bicarbonate buffered solution (Sigma) and then incubated at 37°C for 12-16 hours. The plates were then washed three times with 150

$\mu$ l/well ECW buffer (PBS, 0.1% BSA, 0.05% Tween-20, 0.2% CHAPS, 5mM ethylenediaminetetraacetic acid, 2mM betaine, 0.05%  $\text{NaN}_3$ ) before adding 150  $\mu$ l/well ECW buffer containing 1% casein and incubated at 37°C for an additional 4 hours. The 4G8 antibody recognizes A $\beta$  and thus selects this peptide from the pool of others in the plasma.

5           The coated plates were washed twice with 150  $\mu$ l/well ECW and then 50  $\mu$ l/well ECW was added to ensure that the wells did not dry out during sample loading.

          The standard curves of synthetic A $\beta$  1-40 peptide were prepared by diluting the 100 ng/ $\mu$ l into working solutions using ECW. For the assays the following concentrations were used: 0, 10, 50, 100, 250 and 500 pg/ml synthetic peptide. The standards were loaded in duplicate onto  
10       the wells.

          Each plasma sample was thawed and then sonicated for 20 seconds prior to loading onto wells in quadruplicate. Each plate contained two internal reference samples and all patient visits were loaded on the same plate.

          The loaded plates were incubated for 5 minutes at room temperature, and then for 2 days  
15       at 4°C (“capture phase”). The plates were then washed twice with 150  $\mu$ l/well ECW, 150  $\mu$ l of biotinylated 6E10 monoclonal antibody (Senetek mAbs Biotin 6E10) diluted 1:1000 in ECW was added to each well and the plates incubated at room temperature for 12-15 hours. The 6E10 antibody recognizes A $\beta$  which is “captured” by the 4G8 and is biotinylated so that it can be detected by the tertiary antibody.

20           The plates were washed three times with 150  $\mu$ l/well ECW before 150  $\mu$ l of streptavidin alkaline phosphatase (Amersham) was added per well and incubated at room temperature for 5 hours. The plates were then washed three times with 150  $\mu$ l/well ECW before 100  $\mu$ l/well ddH<sub>2</sub>O was added. The water was then aspirated and 100  $\mu$ l of the Attophos reagent (JBL Scientific

Inc) added per well before being allowed to develop at room temperature in the dark. When the highest point in the standard curve began to turn yellow, the plates were read on a microplate reader (PerSeptive Biosystems CytoFluor Series 4000) at an excitation of 450 nm and an emission of 530 nm.

5           The foregoing description has been presented for purposes of illustration and description.

It is not intended to be exhaustive or to limit the invention. The accompanying drawings are included to provide a further understanding of the invention and are incorporated in and constitute a part of this specification, illustrate several embodiments of the invention and together with the description serve to explain the principles of the invention. Obvious

10       modifications or variations are possible in light of the above teachings. All such obvious modification and variations are intended to be within the scope of the present invention.



WHAT IS CLAIMED IS:

1. A method for treating a mammal having an APP processing disorder comprising administering to the mammal a controlled release composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.
2. The method of claim 1, wherein the APP processing disorder is Alzheimer's disease or Down's Syndrome.
3. The method of claim 1, wherein the mammal is human.
4. The method of claim 1, wherein the composition further comprises a pharmaceutically acceptable excipient.
5. The method of claim 1, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and active metabolite forms thereof.
6. The method of claim 5, wherein the HMG-CoA reductase inhibitor is lovastatin or lovastatin acid.
7. The method of claim 3, wherein about 10 mg to about 60 mg of the HMG-CoA reductase inhibitor is administered per day.
8. The method of claim 1, wherein about 0.2 mg to about 10 mg of the HMG-CoA reductase inhibitor per Kg of the mammal's body weight is administered per day.
9. The method of claim 1, wherein the composition comprises an amount of the HMG-CoA reductase inhibitor such that the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 50 micromolar.

10. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 40 micromolar.

11. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 30 micromolar.

12. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 20 micromolar.

13. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 10 micromolar.

14. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 5 micromolar.

15. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is below about 1 micromolar.

16. The method of claim 9, wherein the average blood plasma concentration of the HMG-CoA reductase inhibitor or an active metabolite thereof at steady-state is about 0.5 micromolar.

17. A method for treating a mammal having an APP processing disorder comprising lowering the amount of A $\beta$  peptides in the brain, cerebral spinal fluid, or plasma of the mammal

by administering to the mammal a controlled release composition having a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

18. The method of claim 17, wherein lowering the amount of A $\beta$  peptides in the brain comprises affecting APP<sub>m</sub> processing.

5 19. The method of claim 17, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and the active metabolite forms thereof.

10 20. A method for treating a mammal having an APP processing disorder comprising increasing the clearance of A $\beta$  peptides in the brain, cerebral spinal fluid, or plasma of the mammal by administering to the mammal a controlled release composition having a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

21. The method of claim 20, comprising increasing the clearance of A $\beta$  peptides in the brain of the mammal.

15 22. The method of claim 20, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and the active metabolite forms thereof.

20 23. A method for treating a mammal having an APP processing disorder comprising preventing or reducing A $\beta$  peptide aggregation or plaque formation in the brain of the mammal by administering to the mammal a controlled release composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

24. The method of claim 23, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and the active metabolite forms thereof.

5 25. A method for treating a mammal exhibiting the objective symptoms of Alzheimer's disease by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

26. The method of claim 25, wherein the HMG-CoA reductase inhibitor decreases the formation of A $\beta$  peptides, increases the clearance of A $\beta$  peptides, regulates the processing of  
10 APP, or reduces plaque maturation in the mammal.

27. The method of claim 25, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and the active metabolite forms thereof.

15 28. The method of claim 27, wherein the HMG-CoA reductase inhibitor is lovastatin or lovastatin acid.

29. A method for treating a mammal having Down's Syndrome by administering to the mammal a composition comprising a therapeutically effective amount of at least one HMG-CoA reductase inhibitor.

20 30. The method of claim 29, wherein the HMG-CoA reductase inhibitor decreases the formation of A $\beta$  peptides, increases the clearance of A $\beta$  peptides, regulates the processing of APP, or reduces plaque maturation in the mammal.

31. The method of claim 29, wherein the HMG-CoA reductase inhibitor is selected from the group consisting of mevastatin, pravastatin, simvastatin, atorvastatin, lovastatin, rivastatin, fluvastatin, and pharmaceutically acceptable salts, isomers and the active metabolite forms thereof.

5           32. The method of claim 31, wherein the HMG-CoA reductase inhibitor is lovastatin or lovastatin acid.

33. A method for treating a mammal having an APP processing disorder comprising lowering the amount of cellular cholesterol levels in the mammal.

# **ABSTRACT**

Methods for the treatment and prevention of APP processing disorders such as Alzheimer's disease and Down's Syndrome which are based on the administration of an effective amount of a HMG-CoA reductase inhibitor to a mammal are disclosed. Additionally, methods for the treatment and prevention of APP processing disorders such as Alzheimer's disease and Down's Syndrome which are based on the reduction of cellular cholesterol in a mammal are disclosed. These methods reduce the amount of A $\beta$  peptides or decrease the formation of A $\beta$  peptides or increase the clearance of A $\beta$  peptides in a mammal suffering from Alzheimer's disease and Down's Syndrome.

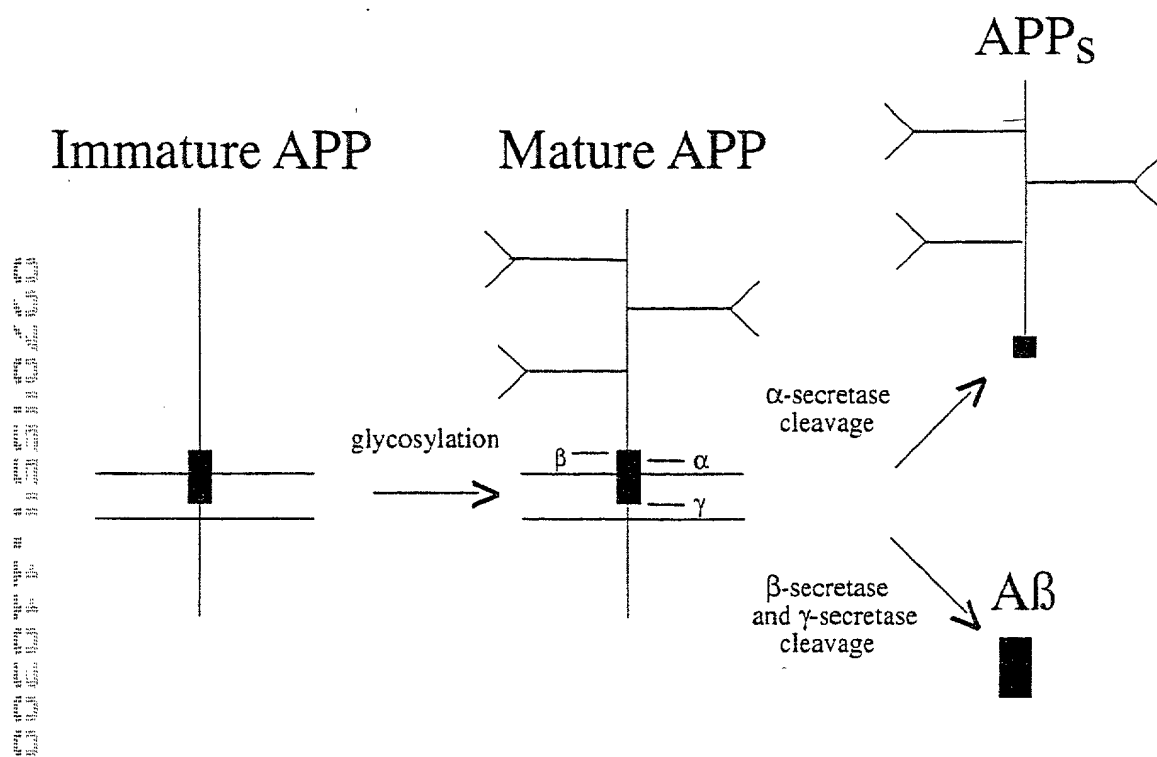


Figure 1

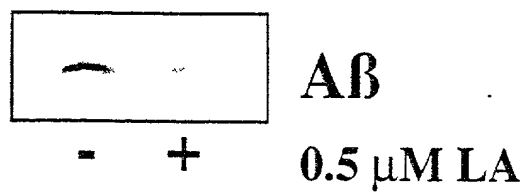
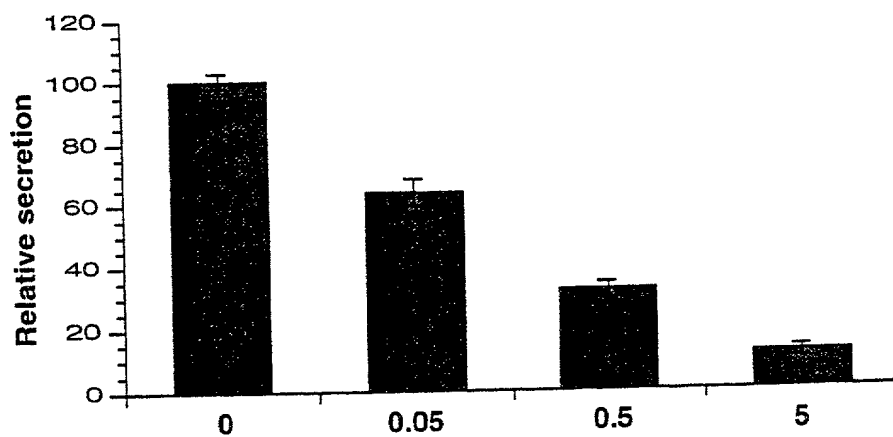


Figure 2a

H4



Lovastatin acid, LA (μM)

Figure 2b



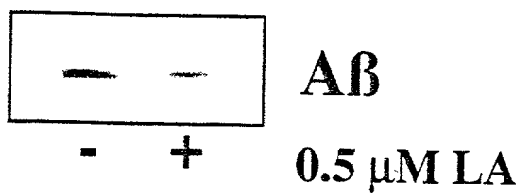
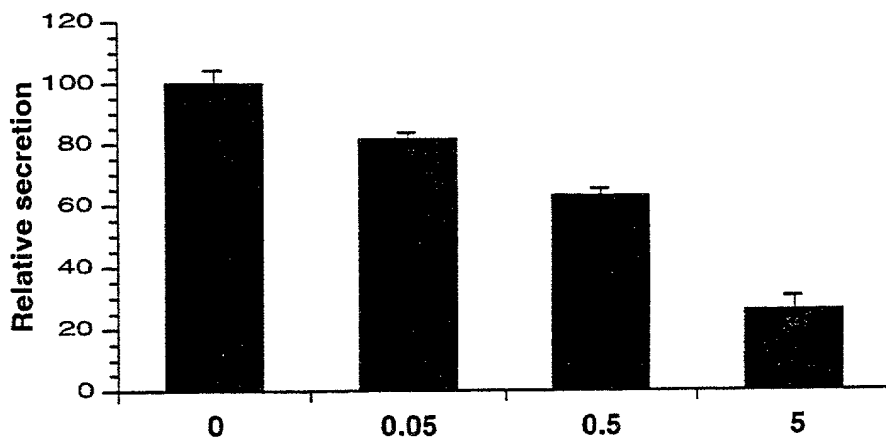


Figure 3a

**MDCK**



**Lovastatin acid, LA (μM)**

Figure 3b

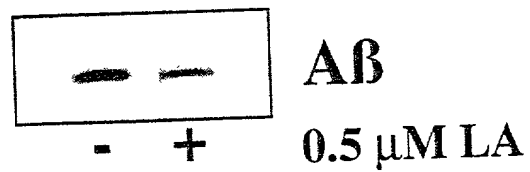
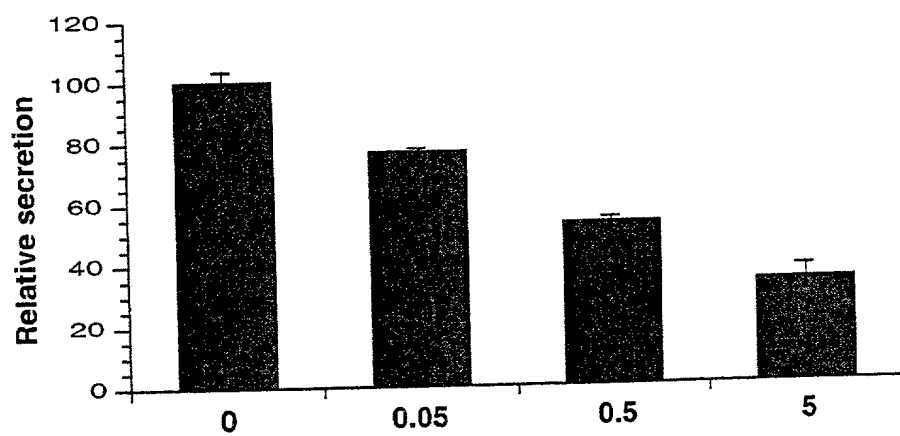


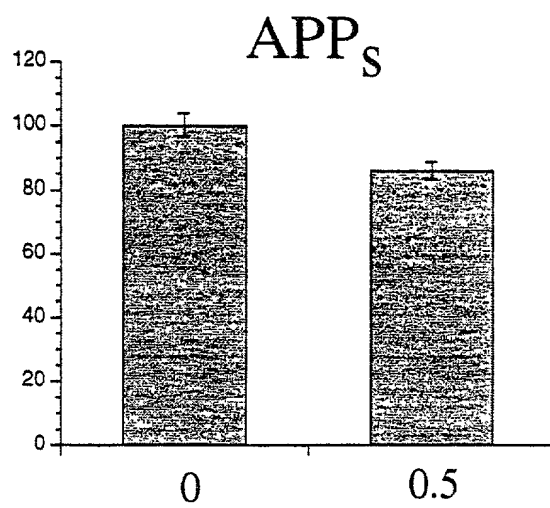
Figure 4a

CHO



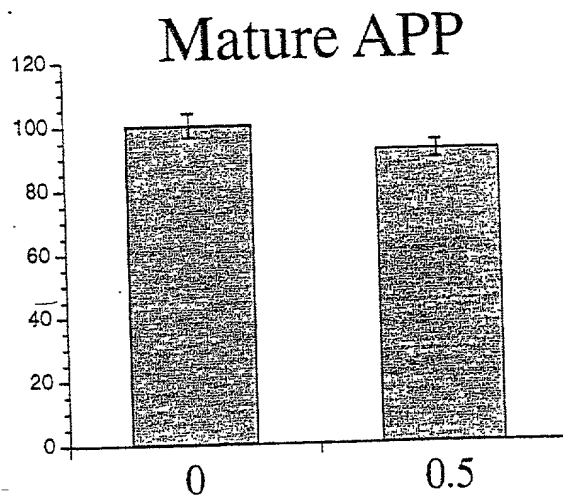
Lovastatin acid, LA (μM)

Figure 4b



**Lovastatin acid, LA (μM)**

**Figure 5**

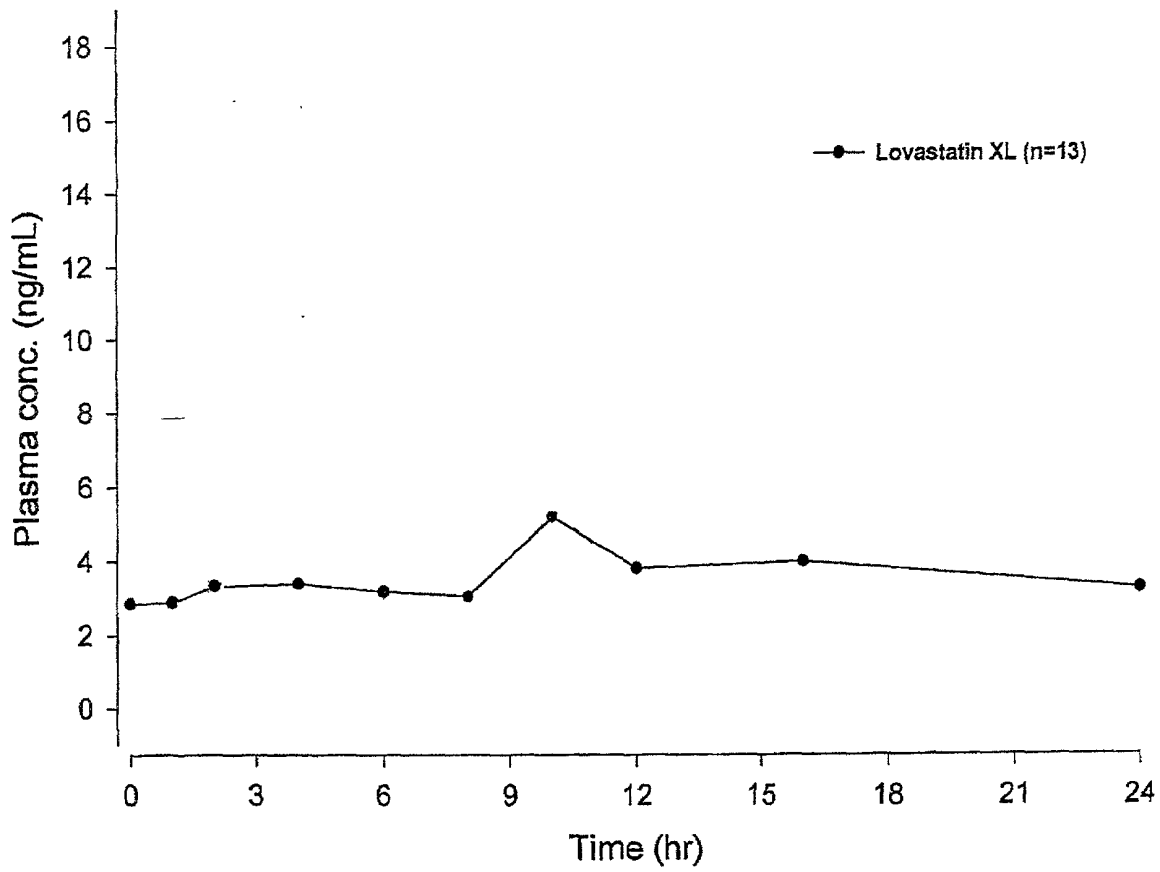


Lovastatin acid, LA ( $\mu$ M)

Figure 6

# Figure 7

Mean ( $\pm$ SD) plasma concentration profiles of  $\beta$ -hydroxyacid of lovastatin in patients after multiple oral doses (four weeks) of lovastatin XL



## Change in A beta as a Function of Dose

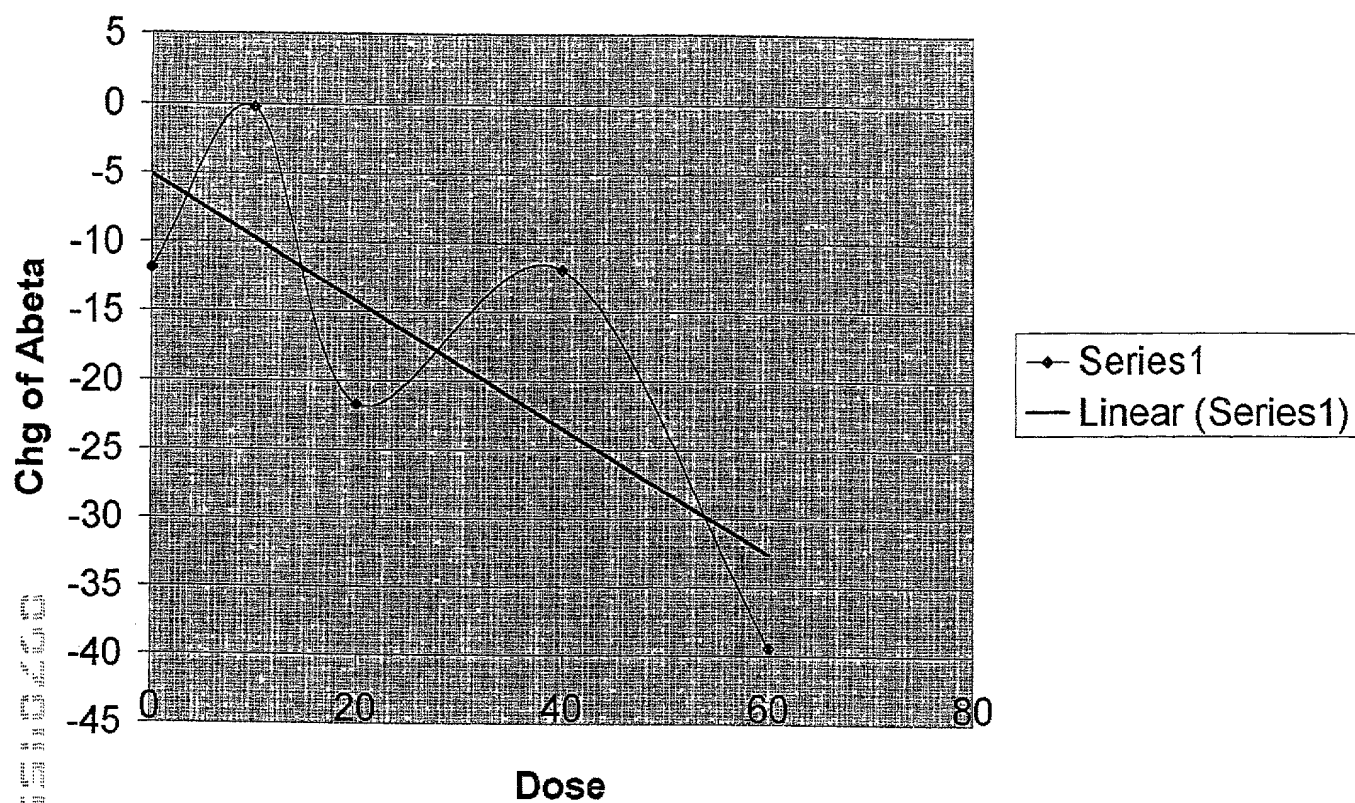
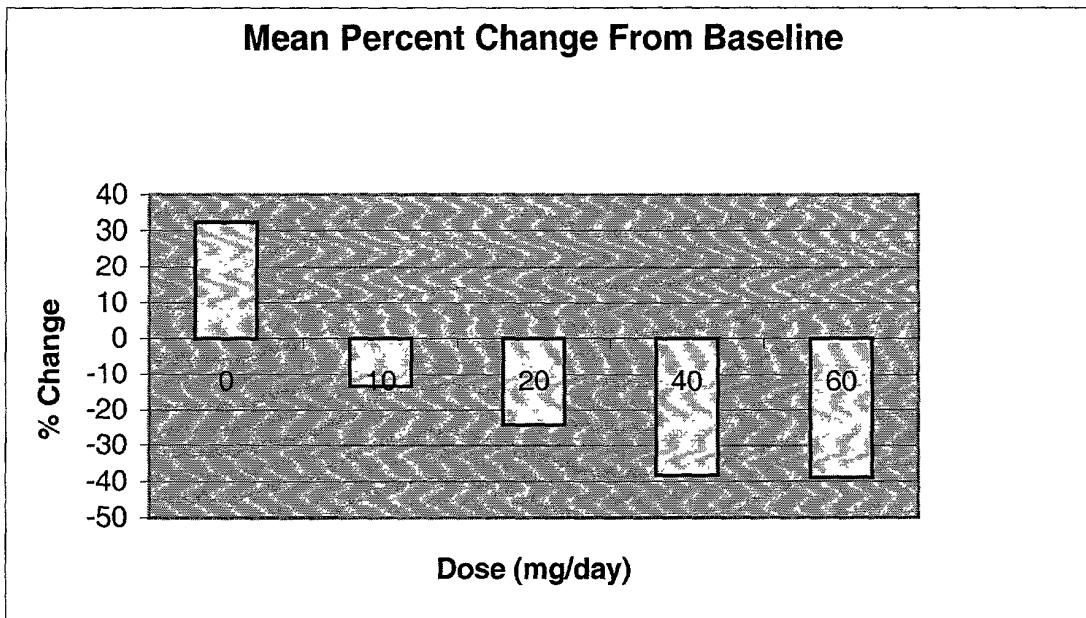


Figure 8

Figure 9



**COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method of Treating Amyloid  $\beta$  Precursor Disorders**

the specification of which:

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_  
 as United States Application Number \_\_\_\_\_  
 or PCT International Application Number \_\_\_\_\_  
 and was amended on \_\_\_\_\_  
 (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>(Number)</u>	<u>(Country)</u>	<u>(Day/Month/Year Filed)</u>	<u>Yes</u>	<u>No</u>
60/163,608		November 4, 1999		
(Application Number)		Filing Date		
60/219,435		July 22, 2000		
(Application Number)		Filing Date		
60/223,987		August 9, 2000		
(Application Number)		Filing Date		

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

60/163,608	November 4, 1999
(Application Number)	Filing Date
60/219,435	July 22, 2000
(Application Number)	Filing Date
60/223,987	August 9, 2000
(Application Number)	Filing Date



I hereby claim the benefit under Title 35, United States Code, Section 20 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I am knowledgeable to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Number) Filing Date (Status – patented, pending, abandoned)

(Application Number) Filing Date (Status – patented, pending, abandoned)

(Application Number) Filing Date (Status – patented, pending, abandoned)

I hereby appoint Mark R. Shanks Registration No. 33,781, Toni-Junell Herbert, Registration No. 34,348, Joseph G. Contrera, Registration No. 44,628, Simon D. Roberts, Registration No. P-47,342, David W. Woodward, Registration No. 35,020, Keith D. Hutchinson, Registration No. 43,687, Chalin A. Smith, Registration No. 41,569, Shelly Guest Cormak, Registration No. 39,571, Patrick Stanzione, Registration No. 40,434, Suzannah Sundberg, Registration No. 43,172 of SHANKS & HERBERT, telephone (703) 683-3600, with a mailing address at:

TransPotomac Plaza  
1033 N. Fairfax St., Suite 306  
Alexandria, VA 22314

with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

The undersigned hereby authorizes the U.S. Attorneys named herein to accept and follow instructions from undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

Full Name of Second/Joint Inventor: Dr. Joseph Buxbaum

Inventor's Signature: Joseph Buxbaum Date: 10/27/2000

Residence: New York, NY Citizenship: United States  
(City, State) (Country)

Post Office Address: One Gustave Levy Place, Box 1230, New York NY 10029-6500

## **COMBINED DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Method of Treating Amyloid  $\beta$  Precursor Disorders**

the specification of which:

☒ is attached hereto.  
☐ was filed on \_\_\_\_\_  
as United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above. I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

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I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	_____ Yes	_____ No

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below

_____ 60/163,608 (Application Number)	_____ November 4, 1999 Filing Date
_____ 60/219,435 (Application Number)	_____ July 22, 2000 Filing Date
_____ 60/223,987 (Application Number)	_____ August 9, 2000 Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

\_\_\_\_\_  
(Application Number)      Filing Date      (Status – patented, pending, abandoned)

\_\_\_\_\_  
(Application Number)      Filing Date      (Status – patented, pending, abandoned)

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(Application Number)      Filing Date      (Status – patented, pending, abandoned)

I hereby appoint Mark R. Shanks Registration No. 33,781, Toni-Junell Herbert, Registration No. 34,348, Joseph G. Contrera, Registration No. 44,628, Simon D. Roberts, Registration No. P-47,342, David W. Woodward, Registration No. 35,020, Keith D. Hutchinson, Registration No. 43,687, Chalin A. Smith, Registration No. 41,569, Shelly Guest Cermak, Registration No. 39,571, Patrick Stanzione, Registration No. 40,434, Suzannah Sundby, Registration No. 43,172 of SHANKS & HERBERT, telephone (703) 683-3600, with a mailing address at:

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with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

The undersigned hereby authorizes the U.S. Attorneys named herein to accept and follow instructions from undersigned's assignee, if any, and/or, if the undersigned is not a resident of the United States, the undersigned's domestic attorney, patent attorney or patent agent, as to any action to be taken in the Patent and Trademark Office regarding this application without direct communication between the U.S. attorneys and the undersigned. In the event of a change in the person(s) from whom instructions may be taken, the U.S. attorneys named herein will be so notified by the undersigned.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application of any patent issued thereon.

Full Name of Sole/First Inventor: Dr. Lawrence Friedhoff

Inventor's Signature:  Date: 10/31/08

Residence: Hackensack, N.J      Citizenship: United States  
(City, State)      (Country)

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